



Filing ID #10024230

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Sean Casten
Status: Congressional Candidate
State/District: IL06

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 08/5/2018
Period Covered: 01/01/2017– 03/31/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity 401k ⇒ ABF SM Cap Val INV [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity 401k ⇒ Dodge & Cox Int'l Stock [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity 401k ⇒ FID Blue Chip GR [MF]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity 401k ⇒ FID Large Cap Stock [MF]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity 401k ⇒ FID Mid Cap Value [MF]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity 401k ⇒ FID Real Estate Invs [RE]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity 401k ⇒ Invesco Divrs Divd R5 [MF]		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity 401k ⇒ Invesco Int'l Growth R5 [MF]		\$15,001 - \$50,000	None		
Fidelity 401k ⇒ J H Enterprise A [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ Vang Lg Cap Idx ADM [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ Vang MidCap Idx ADM [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ Vanguard Tot Int'l Stock AD [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ WF SM Co Growth ADM [MF]		\$1,001 - \$15,000	Tax-Deferred		
Schwab Acct ...373917 ⇒ Cash in checking acct [BA]	JT	\$50,001 - \$100,000	None		
Schwab Acct ...373917 ⇒ Division Street Capital DSC I Series 6 [RE]	JT	\$15,001 - \$50,000	Dividends	None	None
Schwab Acct ...373917 ⇒ Personal loan to campaign [DO]	JT	\$500,001 - \$1,000,000	Interest	\$1 - \$200	None
Schwab Acct ...837263 ⇒ Cash in investment acct [BA]	JT	\$1 - \$1,000	None		
Schwab Acct ...837263 ⇒ Division St. Capital DSC LLC 1 Series 3 [RE]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Schwab Acct 1625-3673 ⇒ AMERICAN FD GROWTH FD OF [MF]	JT	\$100,001 - \$250,000	None		
Schwab Acct 1625-3673 ⇒ Cash in brokerage account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Schwab Acct 1625-3673 ⇒ POWERSHARE FTSE RAFI US [EF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Schwab Acct 1625-3673 ⇒ SCHWAB FUNDA EMG MKTS [EF]	JT	\$1,001 - \$15,000	None		
Schwab Acct 1625-3673 ⇒ SCHWAB FUNDAMENTAL INL [EF]	JT	\$1,001 - \$15,000	None		
Schwab Acct 1625-3673 ⇒ SCHWAB FUNDAMENTAL INTL [MF]	JT	\$15,001 - \$50,000	None		
Schwab Acct 1625-3673 ⇒ SCHWAB FUNDAMENTAL US [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Schwab Acct 2806-1477 ⇒ BJBHX (bond fund) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Schwab Acct 2806-1477 ⇒ Cash in brokerage account [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
Schwab Acct 2806-1477 ⇒ Casten Shared Investments [OT]		\$1,000,001 - \$5,000,000	None		
DESCRIPTION: Family LLC investment vehicle. Value shown is my % share. Assets of CFLLC were moved wholly into this vehicle in January 2018; there will be no income allocation prior to year end 2018.					
Schwab Acct 2806-1477 ⇒ GLENMEDE SMALL CAP EQTY [MF]		\$15,001 - \$50,000	None		
Schwab Acct 2806-1477 ⇒ POWERSHARE FTSE RAFI US [EF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Schwab Acct 2806-1477 ⇒ SCHWAB FUNDAMENTAL EMER [MF]		\$1,001 - \$15,000	None		
Schwab Acct 2806-1477 ⇒ SCHWAB FUNDAMENTAL INTL [MF]		\$50,001 - \$100,000	None		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Recycled Energy Development	Compensation	\$.00	\$457,690.00
COMMENTS: Company was sold to Ironclad Energy in September 2016. Compensation shown is for the 2016 calendar year, after which point the			

Source	Type	Amount Current Year to Filing	Amount Preceding Year
company was absorbed into Ironclad Energy.			
Zurich North America	Spouse Salary	\$116,000.00	\$106,921.00
Ironclad Energy Partners	Consulting and termination payments	\$.00	\$393,379.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
President & CEO	Recycled Energy Development
COMMENTS: Sold company in September 2016; position does not apply thereafter.	

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Recycled Energy Development (Westmont , IL, US)	President & CEO
COMMENTS: Compensation through to the end of my employment in September 2016.	
Ironclad Energy Partners (Lombard, IL, US)	Consulting fees
COMMENTS: Paid for 6 months from 9/16 - 3/17 in association with facilitating the transition to new ownership after sale of Recycled Energy Development.	

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"> Fidelity 401k DESCRIPTION: Sean Casten 401K Schwab Acct ...373917 (100% Interest) (Owner: JT) LOCATION: US DESCRIPTION: K/B checking account Schwab Acct ...837263 (100% Interest) (Owner: JT) LOCATION: US DESCRIPTION: K/B cash balance on investment account Schwab Acct 1625-3673 (100% Interest) (Owner: JT)
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LOCATION: US

DESCRIPTION: K/B Schwab investment account

- Schwab Acct 2806-1477 (100% Interest)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Sean Casten , 08/5/2018